

ComplianceOnline and Risk Luminosity Seminar present 2 days Interactive Seminar:

# Thriving on Risk – Risk Management for 21st Century

NASBA Approved. Earn 10.5 CPE credits

June 19 - 20, 2012

Grand Hyatt San Francisco  
345 Stockton Street, San Francisco, CA 94108

Esteemed Panelists:



Dr. Sam Savage

Author & Co-Founder,  
Vector Economics, Inc



Ali Samad-Khan

Founder and President,  
Stamford Risk Analytics



James Bone

Founder, Global  
Compliance Associates,  
LLC



Damian Handzy

Chairman & CEO  
Investor Analytics



Kevin McDonald

CEO & Co-Founder  
Vector Economics, Inc



Dr. Zabihollah  
"Zabi" Rezaee

Professor of Thompson-Hill  
Chair of Excellence and  
Professor of Accountancy,  
University of Memphis



Tim Leech

Managing Director of  
Global Services  
Risk Oversight, Inc.



Karen Rager-Takeda

President, Talent  
Acquisition at Rager  
Resources Group, LLC



Peggy Love

Attorney, specializing  
in Ethics, Compliance,  
Risk and Legislative Affairs

## Thriving on Risk – Risk Management for 21st Century

This course is approved by NASBA (National Association of State Boards of Accountancy). Seminar attendees are eligible for 10.5 CPE credits upon completion of training.

### Course Approach:

This interactive Risk seminar will provide attendees with enhanced risk assessment skills that go beyond COSO and RCSAs. This seminar will improve your understanding of key risks and allow you to more effectively communicate risks to senior management. In this program we will explore the role of leadership, technology, and the decision sciences play in creating the right environment for business performance and risk management to co-exist.

### Course Description:

In today's competitive business environment risk management programs must be cost effective, demonstrate business acumen, and add value to organizational goals. Regardless of whether you are using Microsoft spreadsheets or advanced risk management applications this course is designed to provide you with skills to raise your risk game. The instructors in this course will demonstrate simple concepts that can be implemented right away to improve how you assess and communicate risks.

Making improvements in your risk management program does not require a complete over haul. The difference in a high performance risk program is measured in incremental changes to a program that is already effective. This two-day course led by experts in risk assessment and internal controls design will demonstrate how to make the right changes to your risk program to enhance what you are already doing well. Each of the instructors have led change management at a variety of institutions from government agencies, financial services firms, high technology firms to firms like yours.

Attendees will learn how to use advanced risk assessment approaches with Excel spreadsheets or GRC platforms, improve risk awareness programs, develop internal risk talent, build more effective Ethics programs, and create risk dashboards that communicate key risk exposures. This program will provide innovative approaches to address decision-making under uncertain conditions. The Risk Seminar will provide the participant with new tools to address risk management whether you are in healthcare, energy exploration, finance, industry or a federal agency struggling with how to add value to your risk management program.

### Who will Benefit:

CEOs, CFOs, CIOs, COOs, VPs, Directors, Board Trustees, Regulatory affairs, Senior officers in Risk Management and Compliance

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## Course Outline:

### June 19, 2012 - Day 1 Sessions

08:30 AM - 09:30 AM	Registration
09:30 AM - 10:45 AM	Keynote Address & Introduction/Fundamentals - Risk Assessment By - Dr. Sam Savage, Author & Co-Founder, Vector Economics, Inc
10:45 AM - 12:00 PM	Corporate Governance/Risk Culture By - Dr. Zabihollah "Zabi" Rezaee, Professor of Thompson-Hill Chair of Excellence and Accountancy, University of Memphis James Bone, Founder, Global Compliance Associates, LLC Tim Leech, Managing Director of Global Services, Risk Oversight, Inc
12:00 PM - 01:00 PM	Lunch
01:00 PM - 02:30 PM	Technology's role in Risk Management By - Dr. Damian Handzy, Chairman & CEO, Investor Analytics Kevin McDonald, CEO & Co-Founder, Vector Economics, Inc
02:30 PM - 04:00 PM	Breaking the code on Enterprise Risk Management By - Tim Leech, Managing Director of Global Services, Risk Oversight, Inc

### June 20, 2012 - Day 2 Sessions

09:00 AM - 11:30 AM	Keynote Address & Modern ERM an innovative approach to risk management By - Ali Samad-Khan, Founder and President, Stamford Risk Analytics
11:30 AM - 12:30 PM	Build an effective Ethics Program By - Peggy Love, Attorney, specializing in Ethics, Compliance, Risk and Legislative Affairs Dr. Zabihollah "Zabi" Rezaee, Professor of Thompson-Hill Chair of Excellence and Professor of Accountancy, University of Memphis
12:30 PM - 01:30 PM	Lunch
01:30 PM - 03:00 PM	Developing Risk Talent/ high performing risk management teams By - Karen Rager-Takeda, President, Talent Acquisition at Rager Resources Group, LLC

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## Areas Covered:

This 2 day course will include the following topics:

### Introduction/Fundamentals – Risk Assessment



**Dr. Sam Savage**  
Author & Co-Founder, Vector Economics, Inc

- ▶ How to develop a distribution of risk projects to evaluate
- ▶ Simplifying risk probability to improve assessment criteria
- ▶ Creating more targeted risk dashboards
- ▶ How to effectively communicate risks to senior management
- ▶ How to integrate risk assessment into operational processes
- ▶ Learn why COSO is not enough; Build a better risk framework

### Corporate Governance/Risk Culture



**Dr. Zabihollah "Zabi" Rezaee**  
Professor of Thompson-Hill Chair of Excellence  
and Professor of Accountancy, University of  
Memphis

- ▶ Gain buy-in from senior management with new techniques
- ▶ Demonstrate the value of risk management
- ▶ Learn how to leverage cognitive bias to improve risk awareness
- ▶ Become a center of influence on risk management



**James Bone**  
Founder, Global Compliance Associates



**Tim J. Leech**  
Managing Director of Global Services,  
Risk Oversight, Inc.

### The role technology plays in Risk Management



**Dr. Damian Handzy**  
Chairman & CEO, Investor Analytics

- ▶ Introduction to new add-ons to Microsoft Excel that raise your risk game
- ▶ New advances in risk analytics that are game changers
- ▶ Enterprise Risk management tools that work
- ▶ Low cost changes to your risk program using technology
- ▶ How to build your risk analytics acumen without becoming a "quant"



**Kevin McDonald**  
CEO & Co-Founder, Vector Economics, Inc

## Areas Covered:

This 2 day course will include the following topics:

### Breaking the code on Enterprise Risk Management



**Tim J. Leech**  
Managing Director of Global Services,  
Risk Oversight, Inc.

- ▶ Learn new approaches and tools to analyze risks across the enterprise
- ▶ Learn why Risk & Compliance Self-Assessments are not effective
- ▶ Improve your design of internal controls self-assessments
- ▶ Create a team approach to internal controls self-assessment and design
- ▶ Risk Reporting made simple

### Modern ERM – Innovative approach to Enterprise Risk Management



**Ali Samad-Khan**  
Founder and President, Stamford Risk Analytics  
Risk Oversight, Inc.

- ▶ Innovative new approach to Enterprise Risk Management called Modern ERM.
- ▶ How to factor risk into business decision analysis in a structured and transparent way.
- ▶ Holistic management of all risks based on a consistent definition of risk and a comprehensive risk architecture/taxonomy.
- ▶ How to optimize risk-reward, risk-control and risk-transfer in the context of cost-benefit analysis.
- ▶ Key element of Modern ERM is a new method for assessing risk called The ALEC method.
- ▶ Advantages of The ALEC method, over traditional risk assessment.

### Build an effective Ethics Program



**Peggy Love**  
Attorney, specializing in Ethics, Compliance  
Risk and Legislative Affairs

- ▶ Learn what works and what to avoid
- ▶ Create a Values-based program with rewards
- ▶ Demonstrate metrics of effective Ethics



**Dr. Zabihollah "Zabi" Rezaee**  
Professor of Thompson-Hill Chair of Excellence  
and Professor of Accountancy, University of  
Memphis

### Create high performing Risk Management teams



**Karen Rager-Takeda**  
HR, Senior Executive Wells Fargo

- ▶ How to develop your risk talent for high performance
- ▶ How to focus risk management on key risks
- ▶ Build risk teams with complementary skill sets
- ▶ Demonstrate how risk talent can provide strategic leadership



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Registration Information:

- ✓ **Register Online.** Use your American Express, Visa or MasterCard.
- ✓ Get your group to attend the seminar at a discounted price call +1-888-717-2436.
- ✓ Call +1 - 650-620-3937 or Fax your PO: 650-963-2556.
- ✓ Pay your check to (payee name) "MetricStream Inc" our parent company and Mail the check to: ComplianceOnline (MetricStream, Inc), 2600 E. Bayshore Road, Palo Alto, CA 94303, USA

Terms & Conditions

Your Registration for the seminar is subject to following terms and conditions. If you need any clarification before registering for this seminar please call us @ +1-888-717-2436 or email us @ editor@complianceonline.com

Cancellations and Substitutions

Written cancellations through fax or email (from the person who has registered for this conference) received at least 10 calendar days prior to the start date of the event will receive a refund – less a \$200 administration fee. No cancellations will be accepted – nor refunds issued – within 10 calendar days from the start date of the event.  
On request by email or fax (before the seminar) a credit for the amount paid minus administration fees (\$200) will be transferred to any future ComplianceOnline event and a credit note will be issued. Substitutions may be made at any time. No-shows will be charged the full amount. We discourage onsite registrations, however if you wish to register onsite payment to happen through credit card immediately or check to be submitted onsite. Conference material will be given on the spot if it is available after distributing to other attendees. In case it is not available we will send the material after the conference is over. In the event ComplianceOnline cancels the seminar, ComplianceOnline is not responsible for any airfare, hotel, other costs or losses incurred by registrants. Some topics and speakers may be subject to change without notice.

☒ **YES!**

I want to attend **2-day Seminar – Thriving on Risk - Risk Management for 21st Century** on

☐ Tuesday, June 19 & Wednesday June 20, 2012, (2 day), Grand Hyatt San Francisco, 345 Stockton Street, San Francisco, CA 94108

Registration fee includes the workshop, all course materials and lunch

Register for 3 and 4th person gets a FREE pass!!!

Attendee 1 : Name ..... Title ..... Email .....

Attendee 2 : Name ..... Title ..... Email .....

Attendee 3 : Name ..... Title ..... Email .....

Attendee 4 : Name ..... Title ..... Email .....

Email address (so you can receive order acknowledgements, updated news, product information and special offers)

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